

# Item 6.1 Work programme

## Governance, Risk and Best Value

January 2016

N o	Title / description	Sub section	Purpose/Reason	Category or type	Lead officer	Stakeholders	Progress updates	Expected date
<b>Section A – Regular Audit Items</b>								
1	Internal Audit Overview of internal audit follow up arrangements		Paper outlines previous issues with follow up of internal audit recommendations, and an overview of the revised process within internal audit to follow up recommendations, including the role of CLG and the Committee	Internal Audit	Chief Internal Auditor	Council Wide	Every 3 cycles	March 2016
2	Internal Audit Quarterly Activity Report		Review of quarterly IA activity with focus on high and medium risk findings to allow committee to challenge and request to see further detail on findings or to question relevant officers about findings	Internal Audit	Chief Internal Auditor	Council Wide	Every 3 cycles	March 2016

No	Title / description	Sub section	Purpose/Reason	Category or type	Lead officer	Stakeholders	Progress updates	Expected date
3	IA Annual Report for the Year		Review of annual IA activity with overall IA opinion on governance framework of the Council for consideration and challenge by Committee	Internal Audit	Chief Internal Auditor	Council Wide	Annually	June 2016
4	IA Audit Plan for the year		Presentation of Risk Based Internal Audit Plan for approval by Committee	Internal Audit	Chief Internal Auditor	Council Wide	Annually	March 2016
5	Audit Scotland	Review of Internal Audit	Annual report on internal audit support provided to External Audit	External Audit	Chief Internal Auditor	Council Wide	Annually	TBC
6	Audit Scotland	Annual Audit Plan	Annual audit plan	External Audit	Hugh Dunn	Council Wide	Annually	April 2016
7	Audit Scotland	Annual Audit Report	Annual audit report	External Audit	Hugh Dunn	Council Wide	Annually	September 2016
8	Audit Scotland	Internal Controls Report	Annual report on Council wide control framework	External Audit	Hugh Dunn	Council Wide	Annually	August 2016

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9	Audit Scotland	ISA 260	Annual ISA 260 Report	External Audit	Hugh Dunn	Council Wide	Annually	September 2016
10	Audit Scotland	Annual overview report	Based on the local government audit work in 2013, the report provides a high-level, independent view on the progress councils are making in managing their finances and in achieving Best Value, and is designed to help councillors identify priorities in 2014.	External Audit	Hugh Dunn	All local authorities in Scotland	n/a	June 2016
11	Accounts Commission	Annual report	Local Government Overview	External Audit	Hugh Dunn	Council Wide	Annually	June 2016
<b>Section B – Standing Project Items</b>								
12	Governance of Major Projects	6 monthly updates	To ensure major projects undertaken by the Council were being adequately project managed	Major Project	TBC	All	Every 6 months	May 2016
<b>Section C – Scrutiny Items</b>								
13	Welfare Reform	Review	Regular update reports	Scrutiny	Danny Gallacher, Head of Corporate and Transactional Services	Council Wide	January 2015	January 2016

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14	Review of CLG Risk Scrutiny	Risk	Quarterly review of CLG's scrutiny of risk	Risk Management	Chief Executive	Council Wide	Quarterly	December 2015
15	Whistle blowing Quarterly Report		Quarterly Report	Scrutiny	Chief Executive	Internal	Quarterly	January 2016
16	Pride in our People	Staff	Annual report of progress	Scrutiny	Chief Executive	Council Wide	Annual	October 2016
17	Workforce Control	Staff	Annual report	Scrutiny	Hugh Dunn	Council Wide	Annual	December 2015
18	Committee Decisions	Democracy	Annual report	Scrutiny	Chief Executive	Governance, Risk and Best Value Committee	Annual	August 2016
19	Dissemination of Committee Decisions	Democracy	Bi-annual report	Scrutiny	Chief Executive	Council Wide	Six- monthly	May 2016
20	Late Submission of reports	Democracy	Bi-annual report	Scrutiny	Chief Executive	Council Wide	Six- monthly	May 2016

## GRBV Upcoming Reports

## Appendix 1

Number	Report Title	Type	Flexible/Not Flexible
<b>3 March 2016 Committee</b>			
1	IA Audit Plan for the Year	Internal Audit	Flexible
2	IA Follow Up Arrangements	Internal Audit	Flexible
3	IA Quarterly Update	Internal Audit	Flexible
4	Records Management	Scrutiny	Flexible
5	Cameron House Action Plan	Scrutiny	Flexible
6	Workforce Management	Scrutiny	Flexible
7	Welfare Reform	Scrutiny	Flexible
8	CLG Risk Register	Scrutiny	Flexible
9	Directorate Risk Register	Scrutiny	Flexible
10	Greenykes and Wauchope Communal Heating Update	Scrutiny	Flexible
11	Northgate System	Scrutiny	Flexible
<b>21 April 2016 Committee</b>			
1	Annual Audit Plan	External Audit	Not flexible
2	IA Audit and Risk Service – Delivery Model Update	Internal Audit	Flexible
3	LAC Transformation Programme - Update	Scrutiny	Flexible
<b>26 May 2016 Committee</b>			
1	Governance of Major Projects	Scrutiny	Flexible
2	Whistleblowing Update	Scrutiny	Flexible
3	Dissemination of Committee Decisions	Scrutiny	Flexible
4	Late Submission of Committee Reports	Scrutiny	Flexible
5	Home Care and Reablement Service Update	Scrutiny	Flexible
<b>28 June 2016 Committee</b>			
1	IA Follow Up Arrangements	Internal Audit	Flexible
2	IA Quarterly Update	Internal Audit	Flexible

3	Internal Audit Annual Report for the Year	Internal Audit	Flexible
4	Audit Scotland – Annual Overview Report	External Audit	Flexible
5	CLG Risk Register	Scrutiny	Flexible
6	Directorate Risk Register	Scrutiny	Flexible